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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

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|---|------------------------|---|--|--|--|
|   | Application Number     | 10/003,678  |  |  |  |
| TRANSMITTAL   | Filing Date            | December 6, 2001                                    |  |  |  |
| FORM  | First Named Inventor   | John E. Hutchins                                    |  |  |  |
| used for all correspondence after initial filing)     | Group Art Unit         | 3731 Not Yet Assigned                               |  |  |  |
|   | Examiner Name          |   |  |  |  |
| nber of Pages in This Submission                      | Attorney Docket Number | BSX-214.1CIP  |  |  |  |

| ENCLOSURES (check all that apply)                                 |  |   |   |  |  |  |  |
|---|--|---|---|--|--|--|--|
| X Fee Transm  | ittal Form                                   | Assignment Papers (for an Application)                            | After Allowance Communication to Group                            |  |  |  |  |
| χ Fee A   | ttached                                      | X Drawing(s)  | Appeal Communication to Board of Appeals and Interferences        |  |  |  |  |
| Amendment   | /Reply                                       | Licensing-related Papers  | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |  |  |
| After F   | Final  | Petition  | Proprietary Information   |  |  |  |  |
| Affida  | vits/declaration(s)                          | Petition to Convert to a Provisional Application                  | Status Letter   |  |  |  |  |
| X Extension of Time Request                                       |  | Power of Attorney, Revocation<br>Change of Correspondence Address | X Other Enclosure(s) (please identify below)                      |  |  |  |  |
| Express Abandonment Request                                       |  | Terminal Disclaimer   | Power Of Attorney By Assignee                                     |  |  |  |  |
| Information Disclosure Statement                                  |  | Request for Refund  | 10 Declarations For Patent<br>Application – English Language      |  |  |  |  |
| Certified Copy of Priority Document(s)                            |  | CD, Number of CD(s)   | Declaration   |  |  |  |  |
| Response to Incomplete  | o Missing Parts/<br>Application              | Remarks   |   |  |  |  |  |
|   | onse to Missing Parts<br>37 CFR 1.52 or 1.53 |   |   |  |  |  |  |
|   |  |   |   |  |  |  |  |
|   |  |   |   |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT                        |  |   |   |  |  |  |  |
| Firm or Individual Name FULBRIGHT & JAWORSKI L.L.P. Steven M. War |  |   |   |  |  |  |  |
| Signature   | Signature Stom Wa                            |   |   |  |  |  |  |
| Date  | April 17, 2002                               |   |   |  |  |  |  |
|   |  |   |   |  |  |  |  |

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PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
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|               | FEE TRANSMITTAL   | F     |
|               | for FY 2002   | F     |

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

| espond to a collection of information unless it displays a valid OMB control number. |                  |  |  |  |  |
|--|------------------|--|--|--|--|
| Complete if Known  |                  |  |  |  |  |
| Application Number   | 10/003,678       |  |  |  |  |
| Filing Date  | December 6, 2001 |  |  |  |  |
| First Named Inventor   | John E. Hutchins |  |  |  |  |
| Examiner Name  | Not Yet Assigned |  |  |  |  |
| Group Art Unit   | 3731             |  |  |  |  |
| Attorney Docket No.  | BSX-214 1CIP     |  |  |  |  |

| TOTAL AMOUNT OF PAY  | MENT                          | (\$)           | 130.00                      | Attorney Docket No.   |             |              |               |                  | BSX-214.1CIP   |                        |  |
|--|-------------------------------|----------------|-----------------------------|---|-------------|--------------|---------------|------------------|--|------------------------|--|
| METHOD OF PAYMENT (check all that apply)   |                               |                | FEE CALCULATION (continued) |   |             |              |               |                  |  |                        |  |
| X Check Credit Money Other None  |                               |                | 3. ADDITIONAL FEES          |   |             |              |               |                  |  |                        |  |
| Deposit Account  |                               |                |                             |   |             |              |               |                  |  |                        |  |
| Deposit  | 0075                          |                |                             | Large<br>Fee  | Entity      | Smail<br>Fee | Entity<br>Fee | -                |  |                        |  |
| Account U6-<br>Number  | 2375                          |                |                             | Code  | Fee<br>(\$) | Code         | (\$)          |                  | Fee Description  | Fee Paid               |  |
| Deposit<br>Account Fulbright & J   | aworski                       | L.L.P.         |                             | 105   | 130         | 205          | 65            | Surcharge        | - late filing fee or oath  | 130.00                 |  |
| Name The Commissioner is hereby au   |                               |                | that apply)                 | 127   | 50          | 227          | 25            | Surcharge sheet. | - late provisional filing fee or cover                             |                        |  |
| Charge fee(s) indicated below  | Χc                            | Credit any o   | verpayments                 | 139   | 130         | 139          | 130           | Non-Englis       | sh specification   |                        |  |
| Charge any additional fee(s) d   | uring the per                 | ndency of th   | nis                         | 147   | 2,520       | 147          | 2,520         | For filing a     | request for ex parte reexamination                                 |                        |  |
| application Charge fee(s) indicated below,   | except for                    | the filing fe  | 30                          | 112   | 920*        | 112          | 920°          | Requesting       | g publication of SIR prior to                                      |                        |  |
| to the above-identified deposit ac   | count.                        |                |                             | 113   | 1,840*      | 113          | 1,840*        |                  | g publication of SIR after   |                        |  |
| FEE CALC   | CULATIO                       | N              | *******                     | 115   | 110         | 215          | 55            |                  | for reply within first month                                       |                        |  |
| 1. BASIC FILING FEE  |                               |                | -                           | 116   | 400         | 216          | 200           | Extension        | for reply within second month                                      | 400.00                 |  |
| Large Entity Small Entity  |                               |                |                             | 117   | 920         | 217          | 460           | Extension        | for reply within third month                                       |                        |  |
| Fee Fee Fee Code (\$)  | Fee Descri                    | ption          | Fee Paid                    | 118   | 1,440       | 218          | 720           | Extension        | for reply within fourth month                                      |                        |  |
|  | ity filing fee                |                | 740.00                      | 128   | 1,960       | 228          | 980           | Extension        | for reply within fifth month                                       |                        |  |
| 106 330 206 165 Des  | sign filing fe                | ₽ (            |                             | 119   | 320         | 219          | 160           | Notice of A      | Appeal   |                        |  |
| 107 510 207 255 Pla  | nt filing fee                 |                | 1                           | 120   | 320         | 220          | 160           | Filing a bri     | ief in support of an appeal  |                        |  |
| 108 740 208 370 Rei  | ssue filing fe                | ee [           |                             | 121   | 280         | 221          | 140           | Request fo       | or oral hearing  |                        |  |
| 114 160 214 80 Pro   | visional filin                | g fee          |                             | 138   | 1,510       | 138          | 1,510         | Petition to      | institute a public use proceeding                                  |                        |  |
| SUBTO  | TAL (1)                       | (\$)           | 740.00                      | 140   | 110         | 240          | 55            | Petition to      | revive – unavoidable   |                        |  |
| 30510  | יהבניי                        | (4)            | 740.00                      | 141   | 1,280       | 241          | 640           | Petition to      | revive - unintentional   |                        |  |
| 2. EXTRA CLAIM FEES FO   |                               |                | REISSUE                     | 142   | 1,280       | 242          | 640           | Utility issu     | e fee (or reissue)   |                        |  |
|  |                               | e from<br>elow | Fee Paid                    | 143   | 460         | 243          | 230           | Design iss       | sue fee  |                        |  |
|  |                               | 18 =           | 378.00                      | 144   | 620         | 244          | 310           | Plant issue      | e fee  |                        |  |
| Independent 6 -3 =   | 3 x                           | 84 =           | 252.00                      | 122   | 130         | 122          | 130           | Petitions to     | o the Commissioner   |                        |  |
| Multiple Dependent   |                               |                |                             | 123   | 50          | 123          | 50            | Processing       | g fee under 37 CFR 1.17(q)   |                        |  |
| Large Entity Small Entity  |                               |                |                             | 126   | 180         | 126          | 180           | Submissio        | n of Information Disclosure Stmt                                   |                        |  |
| Fee Fee Fee Code (\$)  | Fee D                         | escription     | n                           | 581   | 40          | 581          | 40            |                  | each patent assignment per   |                        |  |
| 103 18 203 9 Claim   | s in excess                   |                |                             | 146   | 740         | 246          | 370           |                  | imes number of properties) bmission after final rejection .129(a)) |                        |  |
| ·  | endent clai<br>ole depende    |                |                             | 149   | 740         | 249          | 370           | For each a       | additional invention to be   | $\vdash$               |  |
|  | issue indep                   | -              | •                           | 179   | 740         | 279          | 370           |                  | (37CFR 1.129(b))<br>or Continued Examination (RCE)                 | $\vdash \vdash \vdash$ |  |
| ove  | er original p                 | atent          |                             | 169   | 900         | 169          | 900           | Request fo       | or expedited examination   | $\vdash$               |  |
|  | issue claims<br>d over origir |                | of 20                       | l   |             |              | -             | of a design      | n application  | $\vdash$               |  |
|  |                               |                |                             | Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 530.00 |             |              |               |                  |  |                        |  |
| SUBTOTAL (2) (\$) 630.00  **or number previously paid, if greater; For Reissues, see above |                               |                |                             | Redu  | cea by t    | asi¢ F       | ııng ree      | raid             | SUBTOTAL (3) (\$)  | 530.00                 |  |

| SUBMITTED BY                    | Complete (                           | (if applicable) |           |                |
|---------------------------------|--------------------------------------|-----------------|-----------|----------------|
| Name (Print/Type) Steven M. War | Registration No. (Attorney/Agent) 48 | 8,024           | Telephone | (202) 662-4519 |
| Signature Stum M Wan            |                                      |                 | Date      | April 17, 2002 |



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

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FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/003,678

12/06/2001

John E. Hutchins

BSX-214.1 CIP

**CONFIRMATION NO. 3101** 

Date Mailed: 12/17/2001

**FORMALITIES LETTER** 

\*OC000000007202458\*

FULBRIGHT & JAWORSKI L.L.P. MARKET SQUARE 801 PENNSYLVANIA AVENUE, N.W. WASHINGTON, DC 20004-2615

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, mowever, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$630.
  - \$378 for 21 total claims over 20.
  - \$252 for 3 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1500.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
- **WABRHAM1 00000058 10003678** drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

음음음 음

# HODOSEZB.OHIZOE

## A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Docket No.: BSX-214.1CIP

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: John E. Hutchins, et al.

Application No.: 10/003,678

Group Art Unit: 3731

Filed: December 6, 2001 Examiner: Not Yet Assigned

For: METHOD OF AND APPARATUS FOR

POSITIONING AND MAINTAINING THE POSITION OF ENDOSCOPIC INSTRUMENTS

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

**Box Missing Parts Commissioner for Patents** Washington, DC 20231

### Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed December 17, 2001, Applicant respectfully submits: (1) ten (10) Declarations For Patent Application - English Language Declaration; (2) a Request For A Two-Month Extension Of Time; and (3) substitute formal drawings (FIGS. 1-38A, 35 pages total) in compliance with 37 CFR 1.84.

Our check in the amount of \$1,900.00 covering the fees is enclosed (\$740.00 basic filing fee; \$530.00 for additional claims; \$130.00 surcharge; and \$400.00 for a two-month extension of time). The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper

Docket No.: WO-BSX214.1CIP

hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. BSX-214.1CIP. A duplicate copy of this paper is enclosed.

Dated: April 17, 2002

Respectfully submitted,

Steven M. War

Registration No.: 48,024

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(202) 662-0200

(202) 662-4643 (Fax)

Attorneys for Applicant